

TFW 3622



CF-007 Reissue

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Reissue Applicants : Stuart A. Fraser et al.  
Application No. : 09/859,661 Confirmation No.: 3933  
Filed : May 17, 2001  
For : AUTOMATED AUCTION PROTOCOL PROCESSOR  
For Reissue of  
Patent No. : 5,905,974 (Issued May 18, 1999)  
Art Unit : 3622  
Examiner : James W. Myhre

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following document of record in the above-identified patent application:

OTHER DOCUMENTS

"Aurora," Chicago Board of Trade, 1990.

"APT: A Trading System For The Future," The London International Financial Futures Exchange (LIFFE).

This document is listed on the accompanying Form PTO/SB/08 (submitted in duplicate) and is submitted herewith.

REMARKS

These documents came to applicants' attention in an unrelated litigation captioned Trading Technologies International, Inc., v. eSpeed, Inc. et al., Case No. 04 C 5312.

With respect to this document, and pursuant to 37 C.F.R. § 1.97(d), applicants/appellants hereby authorize the Director to charge \$180.00 in payment of the fee pursuant to 37 C.F.R. §1.17(p) to Deposit Account No. 06-1075, Order No. 000718-0007. Applicants/appellants certify that no item of information concerning the above listed document was known to any individual designated in §1.56 more than three months prior to the filing of this Supplemental Information Disclosure Statement.

It is respectfully requested that this document be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

The Director is hereby authorized to charge any additional fee that may be due, or credit any overpayment, in connection with this Supplemental Information Disclosure Statement, to Deposit Account No. 06-1075, Order No. 000718-0007. A duplicate copy of this Supplemental Information Disclosure Statement is enclosed herewith.

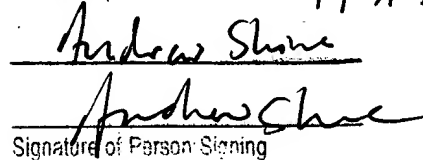
Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,



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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
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|  |  |   |    | Application Number       | 09/859,661             |                         |
| Sheet  |  | 1 | of | 1                        | Confirmation No.       | 3933                    |
|  |  |   |    |                          | Filing Date            | May 17, 2001            |
|  |  |   |    |                          | First Named Inventor   | Stuart A. Fraser et al. |
|  |  |   |    |                          | Art Unit               | 3622                    |
|  |  |   |    |                          | Examiner               | James W. Myhre          |
|  |  |   |    |                          | Attorney Docket Number | CF-007 Reissue          |

| U.S. PATENT DOCUMENTS |                       |  |                                |   |   |
|-----------------------|-----------------------|--|--------------------------------|---|---|
| Examiner initials*    | Cite No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Documents | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number - Kind Code <sup>2</sup> (if known) |                                |   |   |
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| FOREIGN PATENT DOCUMENTS |                       |  |                                |   |   |                |
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| Examiner initials*       | Cite No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Documents | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>1</sup> - Number <sup>2</sup> - Kind Code <sup>3</sup> |                                |   |   |                |
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|                                 |                       |  |                |
|                                 |                       | <b>APPENDIX A</b>  |                |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.



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